

A Novel Approach for Optimum Coordination of Directional Overcurrent Relays Including Far-End Faults in Interconnected Power Systems

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Abstract— The aim of optimum coordination of Directional Overcurrent relays (DOCRs) is to obtain optimum relay settings - Plug Setting (PS) and Time Multiplier Setting (TMS) - for minimizing the operating time of relays while adhering to various coordination and boundary constraints. In this paper, Improved Harmony Search Algorithm (IHSA) is proposed to solve relay coordination problem with three different cases on IEEE 14-bus and IEEE 30-bus systems. The first case considers only near-end fault, as reported in most literature, to demonstrate the violation in coordination constraints of Primary/Backup (P/B) relay pairs for far-end faults. In the second case, the coordination constraints for far-end faults are also included in the problem. This results in increase of operating time of primary relays. The third case presents a new formulation of objective function to reduce the operating time of relays for both near-end and far-end faults. The results obtained using IHSA are compared with Genetic Algorithm (GA), Particle Swarm Optimization (PSO) and HSA to demonstrate its effectiveness for all cases.

Keyword - Directional overcurrent relay coordination; Far-end faults; Improved harmony search algorithm; Objective function; Optimization; Power system protection

I. INTRODUCTION

The primary protection in distribution and sub-transmission networks and backup protection in transmission networks are usually provided using DOCRs [1]. For reducing the chances of excessive power outages, only faulted section of the power system is isolated using primary relays as quickly as possible. As long as the primary relay fails to operate, the backup relays have to instigate to clear the fault after the prescribed time interval. This practice is called relay coordination. The proper coordination of primary and backup relays is essential to ensure the reliability of protection scheme, which is achieved by locating the optimal values of PS and TMS. In modern multi-loop and multi-source interconnected power systems, finding the optimal PS and TMS using analytical methods becomes very hard. Alternatively, it can be easily solved by optimization techniques [2].

In the last few years, several optimization techniques are employed to solve the relay coordination problem. Among the conventional methods, Linear Programming (LP) technique gained good recognition to solve this problem, including simplex, two-phase simplex and dual simplex methods [3-5]. The LP methods involve assumptions in PS, allowing for operating time of each relay as a linear function of TMS. In [6, 7], Sequential Quadratic Programming (SQP) has been used in order to optimize both TMS and PS. Afterwards, Artificial Intelligence (AI) techniques are studied more to solve the coordination problem such as GA [8], modified real coded GA [9], Modified PSO (MPSO) [10, 11], Seeker Algorithm (SA) [12], group search optimization algorithm [13], chaotic firefly algorithm [14], enhanced backtracking search algorithm [15], ant colony algorithm [16], Modified adaptive teaching learning based optimization [17], etc. In [18], the comparative study of GA, PSO, Differential Evolutionary (DE), HSA and SA is presented with the same initial condition to identify the best performed method for the relay coordination. To reduce the search space and computational time, hybrid methods are also utilized for relay coordination problem, including hybrid GA-LP [1], GA-NLP [19], Biogeography-Based Optimization algorithm-LP [2], Gravitational Search Algorithm (GSA)-SQP [20] and PSO-GSA [21].

The HSA is one of the metaheuristic optimization methods which is developed by Z.W. Geem [22]. It has the characteristics of fast convergence speed, easy in concept and simple in implementation with only a few parameters and mathematical requirements [22, 23]. Same as other metaheuristic methods, the performance of the HSA experiences a serious problem of sensitive parameters setting. Hence, fine tuning of the parameters are required, which can help the HSA to maintain a balance between diversification and intensification and to explore the population in the evolution process [24]. To improve the performance of HSA, IHSA is presented in

[25], which updates control parameters Pitch Adjustment Rate (PAR) and bandwidth (bw) dynamically. The IHSA with improved fine-tuning and convergence rate are successfully applied to a range of applications [26-29]. Due to better performance of IHSA, it is used to solve the DOCRs coordination problem in this paper.

In power systems, the operation of primary relays isolates only faulted section, whereas the operation of backup relays disconnects healthy sections along with faulted section. In majority of the literature on relay coordination, this challenge is solved by considering only near-end faults. However, a few coordination constraints for P/B relays pairs are not satisfied for far-end faults. This sub-optimal operation of P/B relay pairs is a foremost source of concern for protection engineers since they remove supplementary sections of power network along with faulted section which affects the reliability of the power systems. In [6, 7], the DOCRs coordination problem is solved in two phases to address this issue. In the first phase, the problem is solved by normal procedure and violated coordination constraints are identified. In the second phase, only violated constraints are included in coordination problem to assure the correct operation of P/B relay pairs in the system. However, the quality of the solution is degraded as additional constraints for far-end faults are imposed. Based on previously conducted studies, far-end fault issue is not broadly covered. Moreover, obtaining an optimal solution for relay coordination with considering far-end faults are very difficult task.

In this paper, the coordination problem is solved for near-end faults in Case-I to demonstrate the solution in terms of sub-optimal operation of P/B relay pairs for far-end faults. To tackle this issue, all coordination constraints for near-end and far-end faults are included in Case-II. The case-III presents the novel objective function to reduce the operating time of primary relays for both near-end and far-end faults. The IHSA is used to solve the coordination problem on IEEE 14-bus and IEEE 30-bus distribution networks. To evaluate the performance of IHSA, obtained results are compared with GA, PSO and HSA.

II. PROBLEM FORMULATION

This section presents the problem formulation for relay coordination. The purpose of optimum relay coordination is to find the optimum relay settings to minimize the operating times of relays, while satisfying various coordination and boundary constraints.

A. Objective function

The most common OF presented in the literature is the minimization of total operating times of relays when they act as primary relays. This OF is expressed as:

$$OF1 = \sum_{i=1}^m W_i T_i \quad (1)$$

where T_i indicates operating time of relay R_i for fault in its primary protection zone, m is the number of relays and W_i is the coefficient representing the probability of a given fault occurring in each protection zone. It is generally set to one [1, 19].

B. Constraints formulation

The operating time of the relays is minimized, subject to the following constraints.

1) Relay characteristic:

The IEC standard based nonlinear and well known Inverse Definite Minimum Time (IDMT) characteristic as shown in Eq. (2), has been considered in the paper.

$$T_i = \frac{0.14 \times (TMS_i)}{\left(\frac{I_{f,i}}{PS_i} \times CT_i \right)^{0.02} - 1} \quad (2)$$

where $I_{f,i}$ is fault current passing through relay R_i . TMS_i , PS_i and CT_i are TMS, PS and CT ratio of relay R_i .

Equation (2) can be written in terms of PS as follows:

$$T_i = \frac{0.14 \times (TMS_i)}{\left(\frac{I_{c,i}}{PS_i} \right)^{0.02} - 1} \quad (3)$$

where $I_{c,i} = \left(\frac{I_{f,i}}{CT_i} \right)$; current through the operating coil of relay R_i .

2) Coordination Time Interval (CTI)

The sample network of the power system is shown in Fig. 1. All relays of the network have their tripping direction away from the respected bus. The relay R_i works as the primary relay for its near-end fault at location F_1 . For the same fault, $R_{j,1}$ and $R_{j,2}$ provide backup protection to relay R_i .

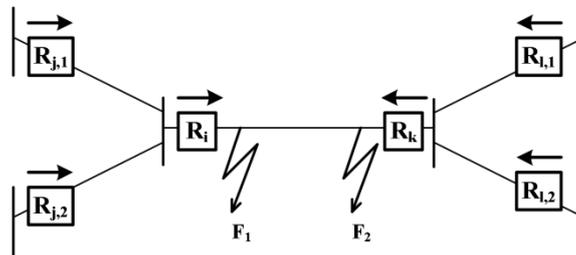


Fig. 1. Illustrative diagram for P/B relay pair

In this case, R_i should trip as quickly as possible, whereas $R_{j,1}$ and $R_{j,2}$ should operate after some prescribed time period known as CTI as expressed by Eq. (4) and Eq. (5). This time period is required for preserving selectivity between P/B protections.

$$T_{j,1}^{F_1} - T_i^{F_1} \geq CTI \quad (4)$$

$$T_{j,2}^{F_1} - T_i^{F_1} \geq CTI \quad (5)$$

where $T_{j,1}^{F_1}$ and $T_{j,2}^{F_1}$ are operating time of backup relays $R_{j,1}$ and $R_{j,2}$ respectively, and $T_i^{F_1}$ are operating time of primary relay R_i for near-end fault at F_1 . The CTI depends upon the types of relays, circuit breaker operating time, relay error and safety margin [1, 2, 19]. The value of CTI is generally selected between 0.2 to 0.5 s [1, 2, 19].

Similarly, the CTI constraint can be rewritten for far-end fault to R_i at location F_2 as follows:

$$T_{j,1}^{F_2} - T_i^{F_2} \geq CTI \quad (6)$$

$$T_{j,2}^{F_2} - T_i^{F_2} \geq CTI \quad (7)$$

where $T_{j,1}^{F_2}$ and $T_{j,2}^{F_2}$ are operating time of backup relays $R_{j,1}$ and $R_{j,2}$ respectively, and $T_i^{F_2}$ are operating time of primary relay R_i for far-end fault at F_2 . The coordination constraints for primary relay R_k and backup relays $R_{l,1}$ and $R_{l,2}$ can be written in the same way as above for near-end and far-end faults.

3) Bounds on operational time of the relay

The relay requires a certain minimum time period to operate. Also, it is not tolerable to take extensive time for the operation. It can be stated as:

$$T_{i,min} \leq T \leq T_{i,max} \quad (8)$$

where $T_{i,min}$ and $T_{i,max}$ are minimum and maximum operationing time of relay R_i . In the practical manner, $T_{i,min}$ depends on the relay maker, whereas $T_{i,max}$ relies on the critical clearing time needed to avoid equipment damage as well as maintain system stability [2].

4) Bounds on TMS

The TMS adjusts the time delay before the relay trips when the fault current attains a value equal to or above the current pickup setting of the relay. It can be stated as:

$$TMS_{i,min} \leq TMS_i \leq TMS_{i,max} \quad (9)$$

where $TMS_{i,min}$ and $TMS_{i,max}$ are minimum and maximum value of TMS of relay R_i .

5) Bounds on PS

The relays will not malfunction under average load and small amount of overload condition. Same way, the relay must be responsive to the smallest fault current. To assure the operation of relays in this condition, the PS is decided based on the utmost load current and the smallest amount of fault current [12, 19].

The bound on PS of relay can be stated as:

$$PS_{i,min} \leq PS_i \leq PS_{i,max} \quad (10)$$

where $PS_{i,min}$ and $PS_{i,max}$ are minimum and maximum value of PS of relay R_i .

C. Proposed Objective Function (OF)

In this paper, the OF presented in [30] is modified to minimize the operating time of primary relays for both near-end and far-end faults with satisfying the coordination constraints. It is defined as:

$$OF2 = \left(\lambda_1 \sum_{i=1}^{N_{NF}} (T_i^{NF})^2 + \lambda_2 \sum_{i=1}^{N_{FF}} (T_i^{FF})^2 + \lambda_3 \sum_{k=1}^{M_{NF}} (T_k^{NF} - CTI)^2 + \lambda_4 \sum_{k=1}^{M_{FF}} (T_k^{FF} - CTI)^2 \right) \quad (11)$$

where $\lambda_1, \lambda_2, \lambda_3$ and λ_4 are nonnegative weight factors. The values for both λ_1 and λ_2 are 1, whereas λ_3 and λ_4 are 0.01. T_i^{NF} and T_i^{FF} are operating time of primary relays for near-end and far-end faults respectively. T_k^{NF} and T_k^{FF} are operating time of backup relays for near-end and far-end faults correspondingly.

III. IMPROVED HARMONY SEARCH ALGORITHM

This section illustrates the proposed improved HSA method. First, a brief overview of the HSA is provided, and then IHSA is described.

A. Harmony search algorithm

The HSA is motivated from soft computing algorithms. Probing into analogy to a musician, who reiterates the notes of a composition in search of the best harmony, in HSA the decision variables are optimized to be the best vector expressed as OF . The harmony search algorithm can be implemented in following steps [22, 23].

Step 1: Initialize the optimization problem and algorithm parameters:

To apply the HSA, OF including constraints and decision variables can be defined as:

$$\begin{aligned} & \text{Minimize} && f(\vec{x}) \\ & \text{Subject to} && g_j(\vec{x}) \geq 0 \quad (j = 1, 2, \dots, M), \\ & && h_l(\vec{x}) = 0 \quad (l = 1, 2, \dots, P), \\ & && x_{i,L} \leq x_i \leq x_{i,U} \quad (i = 1, 2, \dots, N) \end{aligned} \quad (12)$$

where $f(\vec{x})$ is the OF , M and P are the number of inequality and equality constraints respectively, and x_i is the set of decision variables with N number of variables. The lower and upper limits for decision variables are $x_{i,L}$ and $x_{i,U}$ respectively. The HSA parameters (i.e. Harmony Memory Size (HMS), $HMCR$, PAR , and maximum number of improvisations or iterations) are also specified in this step. The Harmony Memory (HM) is the memory location where the entire solution vectors are stored. The $HMCR$ and PAR are parameters which improve the solution vector, and these are defined in step 3.

Step 2: Initialize the HM:

In this step, The HM matrix shown in Eq. (13) is filled up by randomly generated solution vectors (x^1, \dots, x^{HMS}) as the HMS .

$$HM = \begin{bmatrix} x_1^1 & \dots & x_N^1 \\ \vdots & \ddots & \vdots \\ x_1^{HMS} & \dots & x_N^{HMS} \end{bmatrix} \quad (13)$$

There is a chance of infeasible solutions in which constraints are violated. However the algorithm enforces the search towards a feasible solution region. The static penalty function is used to handle the constraints, and it can be expressed as:

$$\begin{aligned} \text{fitness}(\vec{x}) &= f(\vec{x}) + \sum_{j=1}^M \alpha_j \times \min[0, g_j(\vec{x})]^2 + \sum_{l=1}^P \beta_l \times \min[0, h_l(\vec{x})]^2 \quad (14) \\ g_j(\vec{x}) &= \begin{cases} 0 & \text{if } x_{i,L} \leq x \leq x_{i,U} \\ (x - x_{i,U})^2 & \text{if } x > x_{i,U} \\ (x_{i,L} - x)^2 & \text{if } x < x_{i,L} \end{cases} \quad (15) \end{aligned}$$

where α_j and β_l are the penalty coefficient equal to 1000.

Step 3: Improvise a new harmony:

A new harmony vector $\vec{x}' = (x'_1, x'_2, \dots, x'_N)$ is produced based on the memory consideration, pitch adjustment and random selection. The new harmony generation is called improvisation. According to memory consideration, i^{th} variable $x'_i = (x_L^1 - x_{HMS}^1)$. The $HMCR$ is defined as the probability of choosing a value from the historical values stored in the HM , and $(1-HMCR)$ is the probability of producing a component at random from the achievable range of values, as shown in Eq. (16). The $HMCR$ varies between 0 and 1.

$$x'_{i,new} \leftarrow \begin{cases} x'_i \in \{x_i^1, x_i^2, \dots, x_i^{HMS}\} \text{ with probability } HMCR \\ x'_i \in X_i \text{ with probability } (1 - HMCR) \end{cases} \quad (16)$$

If x'_i is produced from the HM , further it is adjusted according to PAR . The PAR settles on the probability of an elements from the HM mutating and $(1-PAR)$ is the probability of no mutation. The pitch adjustment for the particular x'_i is expressed as:

$$x'_{i,new} \leftarrow \begin{cases} x'_i \pm rand[0,1] \times bw & \text{with probability } PAR \\ x'_i & \text{with probability } (1 - PAR) \end{cases} \quad (17)$$

where $rand[0,1]$ is the randomly generated value between 0 and 1 and bw is the arbitrary distance bandwidth for the continuous design variable.

Step 4: Update the HM :

If x' is better than the worst vector x^{worst} in the HM in terms of the OF value, replace x^{worst} with x' .

Step 5: Check stopping criterion:

Repeat step 3 and step 4 until stopping criterion (e.g. maximum numbers of improvisations) has been satisfied which is explained as follows:

$$\Delta f = f^k - f^{k-1} \leq \varepsilon \quad (18)$$

where Δf = difference of the best fitness value of two consecutive iterations.

And,

$$\Delta x = x^k - x^{k-1} \leq \varepsilon \quad (19)$$

where Δx = difference of the best values of control variables in two consecutive iterations.

$$\Delta x^T \cdot \Delta x \leq \varepsilon \quad (20)$$

where ε (Best error value) = 10^{-5}

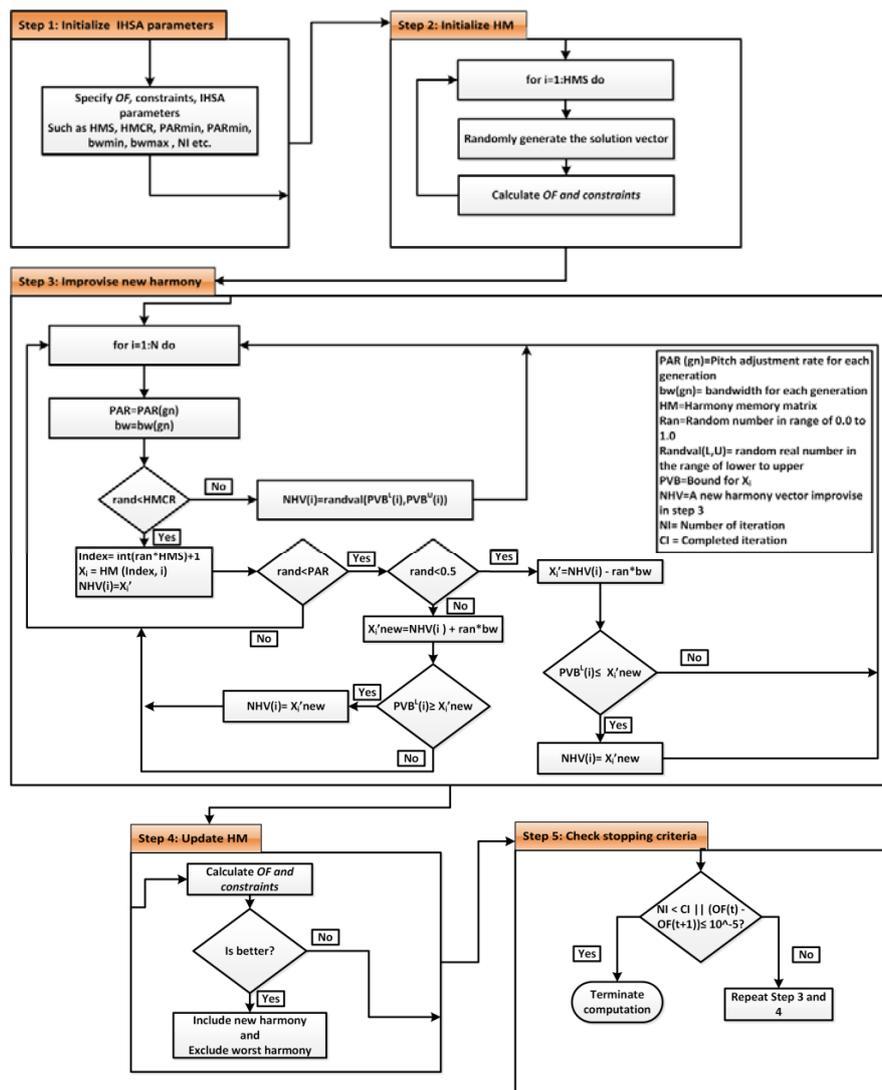


Fig. 2. Flowchart for the IHSA [25]

If Δf and Δx are very small (i.e. 10^{-5}), then it confirms that there is no significant improvement in the value of an optimal solution and no further iterations are required. Therefore, the HSA is terminated immediately whenever the best error value found by HSA drops below the desired threshold (i.e. 10^{-5}) or the numbers of iterations (Maximum iterations) assure the termination criteria.

B. Improved harmony search algorithm

In the HSA, PAR and bw introduced in Step 3 of the HSA are vital parameters in fine-tuning of solution vectors, and can be very helpful in the adjustment of the algorithm convergence rate for an optimal solution. As explained in Step 1 of the HSA, entire parameters are initialized and cannot be changed during new generation. In [25], HSA is improved to overcome the limitations associated with fixed values of PAR and bw . In the IHSA, PAR and bw values have been dynamically adjusted in the improvisation process of Step 3 of the HSA. The value of PAR is linearly increased in each iteration as expressed below:

$$PAR_{(gn)} = PAR_{min} + \frac{(PAR_{max} - PAR_{min})}{NI} \times gn \quad (21)$$

where $PAR_{(gn)}$ is the pitch adjusting rate for each generation, PAR_{min} and PAR_{max} are the minimum and maximum pitch adjusting rate respectively, gn is the generation number and NI is the maximum number of iterations.

The value of bw is exponentially decreased in each iteration as expressed by following equation:

$$bw_{(gn)} = bw_{max} \exp\left(\frac{\ln\left(\frac{bw_{min}}{bw_{max}}\right)}{NI} \times gn\right) \quad (22)$$

where $bw_{(gn)}$ is the bandwidth for each generation, bw_{min} and bw_{max} are the minimum and maximum bandwidth respectively. The optimization procedure for the IHSA is shown in Fig. 2.

IV. RESULTS AND DISCUSSION

In this paper, DOCRs coordination problem is formulated with three cases; Case-I: by considering coordination constraints only for near-end faults, Case-II: by considering coordination constraints for both near-end and far-end faults, and Case-III: with a new formulation of the OF. The IHSA is used to solve the relay coordination problem on the distribution network of the IEEE 14-bus and IEEE 30-bus systems. In each case, the obtained results are compared with the GA, PSO and HSA. The directional IDMT relays are considered in all test systems. The range of TMS value is considered from 0.1 to 1.1 and the range of PS is considered from 0.5 to 2.5. Both the CTI of P/B relay pairs and minimum operating time are assumed to be 0.2 s in all cases. The simulations are carried out using MATLAB version 7.8.0.347 (R2009a) on a Windows Vista platform with 2 GB RAM and an Intel Core 2 Duo processor clocked at 2 GHz.

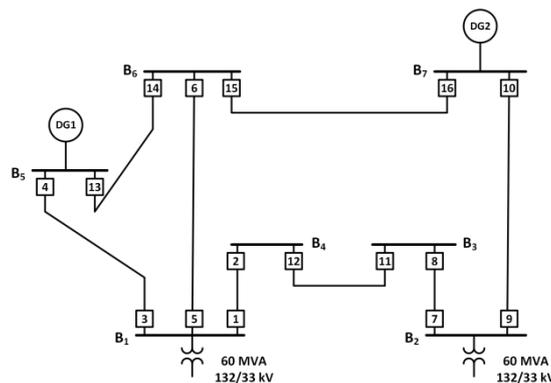


Fig. 3. Single line diagram of IEEE 14-bus distribution network

A. Test system 1: IEEE 14-bus network

The single line diagram of a modified distribution network of IEEE 14-bus network is shown Fig. 3. This meshed distribution network is supplied through two distribution transformers with 10 % transient reactance connected at buses B₁ and B₂. The system has a total of 16 DOCRs installed at each end of the line. The system data are given in [31, 32]. Based on the system, DGs are assumed to be connected at buses B₅ and B₇. The selected DGs are synchronous type with a rating of 5 MVA, operating nominally at 0.9 lagging power factor [31, 32]. The ratio of current transformer of relays (1, 2, 3, 5, 7, 8, 9, 10), (11, 12, 15, 16) and (4, 6, 13, 14) is assumed as 120:1, 80:1 and 40:1 respectively. The 3- Φ short circuit results for near-end and far-end faults are presented in Table I.

1) Case-I

In this case, only near-end faults are considered in relay coordination problem as stated in the majority of the literature. The OF1 given by Eq. (1) are formulated together with the characteristic of relays given by Eq. (3), coordination constraints for near-end faults given by Eqs. (4) and (5), operating time constraints given by Eq. (8), and bounds on relay settings given by Eqs. (9) and (10). By implementing IHSA, the obtained results of relay settings and operating times of primary relays are given in Table II. The obtained CTI values for P/B relay pairs are given in Table III.

TABLE I. 3- Φ short circuit results of near-end and far-end faults for IEEE 14-bus network

Primary Relay (PR)	Backup Relay (BR)	Near-end Fault (NF)		Far-end Fault (FF)		Primary Relay (PR)	Backup Relay (BR)	Near-end Fault (NF)		Far-end Fault (FF)	
		PR	BR	PR	BR			PR	BR	PR	BR
1	4	4952	404	2804	173	8	12	1820	1820	1454	1454
1	6	4952	928	2804	221	9	8	5070	1470	2463	293
2	11	2318	2318	1426	1426	10	15	2147	1784	1151	857
3	2	5968	1420	2280	822	11	7	3689	3689	2318	2318
3	6	5968	928	----	----	12	1	2804	2804	1740	1740
4	14	2140	1777	407	66	13	3	2683	2320	924	614
5	2	5444	1420	2989	823	14	5	4370	2989	1799	902
5	4	5444	404	----	----	14	16	4370	1370	1799	897
6	13	2294	924	----	----	15	5	3913	2989	1784	1340
6	16	2294	1370	924	994	15	13	3913	924	1784	444
7	10	4770	1150	3689	729	16	9	2826	2463	1365	1107

TABLE II. Results for IEEE 14-bus network

Relay no.	Case-I				Case-II				Case-III			
	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)
1	1.641	0.440	0.924	1.129	2.063	0.383	0.867	1.077	2.500	0.340	0.824	1.040
2	1.848	0.272	0.791	1.003	1.247	0.330	0.821	1.003	2.500	0.198	0.663	0.874
3	2.364	0.268	0.597	0.882	1.260	0.373	0.684	0.936	2.500	0.244	0.553	0.824
4	1.794	0.282	0.563	1.120	1.159	0.337	0.593	1.064	2.500	0.207	0.459	1.019
5	0.864	0.474	0.805	0.954	1.561	0.400	0.804	0.984	2.500	0.288	0.677	0.858
6	2.201	0.387	0.805	1.125	1.726	0.407	0.785	1.069	2.500	0.333	0.722	1.026
7	1.896	0.429	0.958	1.049	1.747	0.459	0.996	1.088	2.500	0.339	0.834	0.922
8	2.105	0.249	0.867	0.980	1.573	0.276	0.834	0.928	1.428	0.280	0.809	0.896
9	1.029	0.426	0.774	0.968	2.341	0.307	0.723	0.970	2.500	0.287	0.691	0.935
10	2.125	0.253	0.813	1.157	2.214	0.254	0.834	1.196	2.500	0.201	0.702	1.034
11	1.647	0.418	0.849	0.991	1.202	0.479	0.887	1.021	2.500	0.310	0.722	0.863
12	1.123	0.473	0.929	1.084	1.938	0.373	0.876	1.054	2.500	0.325	0.840	1.030
13	2.450	0.329	0.674	1.005	0.972	0.460	0.729	0.985	2.500	0.299	0.616	0.922
14	0.704	0.476	0.628	0.769	0.535	0.535	0.668	0.808	0.500	0.442	0.544	0.657
15	2.111	0.349	0.754	1.013	1.747	0.386	0.784	1.034	2.500	0.288	0.658	0.902
16	1.530	0.355	0.768	1.007	2.380	0.305	0.770	1.062	2.500	0.285	0.735	1.020
$\sum_{i=1}^{N_{NF}} T_i^{NF}$	12.499 s				12.654 s				11.050 s			
$\sum_{i=1}^{N_{FF}} T_i^{FF}$	16.234 s				16.278 s				14.822 s			

As clearly seen, in Table III, entire coordination constraints are satisfied for near-end faults. When these obtained settings of relays are tested for coordination constraints of far-end faults given by Eqs. (6) and (7), the constraints of CTI for P/B relay pairs 6-16 and 8-12 are violated, which are highlighted in Table III. From the results obtained for the Case-I, the backup relays are operated earlier than defined operating time of primary relays for far-end faults. Therefore, it can be said that the feasible solution cannot be achieved for relay coordination by considering only near-end fault.

2) Case-II

In this case, the coordination constraints for far-end faults, expressed by Eqs. (6) and (7), are included in the relay coordination problem. The obtained results of relay settings and operating times of primary relays are

given in Table II, whereas obtained CTI for P/B relays pairs are given in Table III. As shown in Table II, the obtained total operating time of primary relays for near-end and far-end faults are correspondingly 0.155 s and 0.044 s higher in Case-II compared to Case-I due to the inclusion of additional constraints for far-end faults. On the other hand, the entire coordination constraints for near-end and far-end faults are satisfied.

TABLE III. CTI of P/B relay pairs for IEEE 14-bus network

PR	BR	CTI (s)					
		Case-I		Case-II		Case-III	
		NF	FF	NF	FF	NF	FF
1	4	0.200	1.099	0.200	0.693	0.200	1.591
1	6	0.200	1.791	0.200	1.342	0.200	1.879
2	11	0.200	0.200	0.200	0.208	0.200	0.208
3	2	0.408	0.551	0.320	0.398	0.324	0.536
3	6	0.527	----	0.383	----	0.471	----
4	14	0.208	2.757	0.218	2.224	0.200	1.542
5	2	0.200	0.477	0.200	0.349	0.200	0.500
5	4	0.320	----	0.263	----	0.347	----
6	13	0.200	----	0.200	----	0.200	----
6	16	0.200	0.038	0.275	0.200	0.297	0.200
7	10	0.200	0.619	0.201	0.657	0.200	0.650
8	12	0.200	0.175	0.200	0.213	0.200	0.230
9	8	0.200	10.789	0.201	3.407	0.200	2.692
10	15	0.200	0.325	0.201	0.268	0.200	0.333
11	7	0.200	0.274	0.201	0.283	0.200	0.274
12	1	0.200	0.299	0.200	0.292	0.200	0.298
13	3	0.200	1.405	0.201	0.852	0.200	1.441
14	5	0.327	0.732	0.317	0.947	0.314	1.157
14	16	0.377	0.455	0.392	0.547	0.475	0.654
15	5	0.200	0.250	0.200	0.363	0.200	0.427
15	13	0.251	0.490	0.200	0.256	0.263	0.483
16	9	0.200	0.325	0.200	0.486	0.200	0.499

Bold digits indicate violation in CTI of P/B relay pairs

3) Case-III

As explained in case-I, the mis-coordination in a few P/B relay pairs for far-end faults are observed when only near-end faults is considered in coordination problem. While including the far-end faults in the problem as described in Case-II, all the coordination constraints are satisfied. But, the total operating time of relays is increased. To overcome these problems, the relay coordination problem is formulated using the OF2 expressed by Eq. (11) in case-III.

TABLE IV. Performance analysis for IEEE 14-bus network for 50 simulations

Cases	Algorithm	$\sum_{i=1}^{N_{NF}} T_i^{NF}$				Violated cases	Number of iterations	Mean convergence time (s)
		Best value	Worst value	Mean value	Standard deviation			
Case-I	GA	19.13	22.61	20.91	1.04	3	368	76
	PSO	16.77	19.45	18.43	0.81	2	332	70
	HSA	14.27	15.55	14.93	0.51	2	302	62
	IHSA	12.50	13.22	12.45	0.23	2	193	41
Case-II	GA	21.98	25.95	23.42	1.23	2	517	106
	PSO	17.69	20.56	19.45	0.88	1	446	93
	HSA	15.06	16.76	15.98	0.64	0	401	80
	IHSA	12.65	13.39	13.10	0.26	0	278	55
Case-III	GA	18.57	20.80	19.88	0.98	0	412	91
	PSO	15.44	16.86	16.73	0.71	0	352	79
	HSA	13.76	14.78	14.16	0.43	0	305	68
	IHSA	11.05	11.68	11.32	0.21	0	213	43

The obtained results of relay settings and operating time of primary relays for near-end and far-end faults are tabulated in Table II. The results show that the total operating time of primary relays for near-end faults are 1.449 s and 1.604 s less than Case-I and Case-II respectively. Similarly, the total operating time of primary relays for far-end faults are 1.412 s and 1.456 s less than Case-I and Case-II respectively. The CTI values for

P/B relay pairs are shown in Table III. It is clearly seen from Table III that the coordination constraints for both near-end and far-end faults are also satisfied in this case.

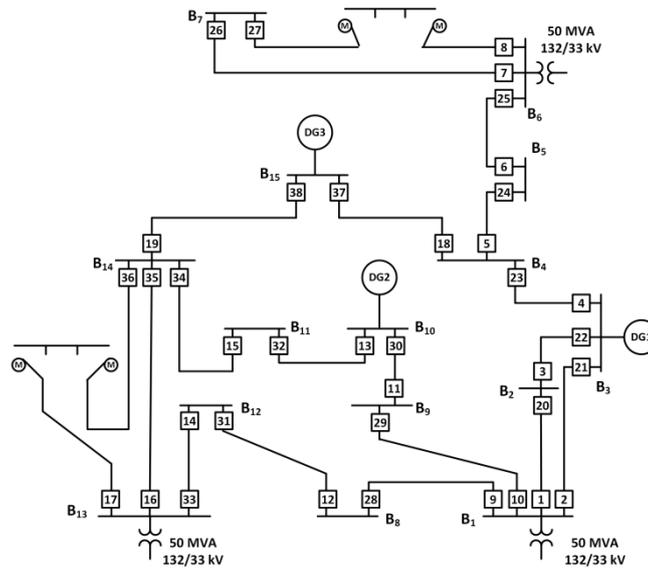


Fig. 4. Single line diagram of IEEE 30-bus distribution network

TABLE V. 3- Φ short circuit results of near-end and far-end faults for IEEE 30-bus network

PR	BR	Fault current (A)				PR	BR	Fault current (A)			
		NF		FF				NF		FF	
		PR	BR	PR	BR			PR	BR	PR	BR
1	21	5794	811	-----	-----	19	16	4263	2430	2468	1490
1	28	5794	1230	3102	975	19	17	4263	623	2468	382
1	29	5794	973	3102	757	20	22	3328	3328	1240	1240
2	20	6223	1240	-----	-----	21	3	4909	2440	-----	-----
2	28	6223	1230	1605	941	21	23	4909	1830	811	1470
2	29	6223	973	1605	724	22	2	4069	1600	3328	1020
3	1	3102	3102	2440	2440	22	23	4069	1830	3328	1700
4	2	4679	1600	2678	882	23	24	3070	1440	1838	1140
4	3	4679	2440	2678	1350	23	37	3070	1630	1838	698
5	4	4306	2678	2251	1400	24	25	2060	2060	1440	1440
5	37	4306	1628	2251	851	25	-----	2780	-----	2060	-----
6	5	2251	2251	1724	1724	26	8	946	946	-----	-----
7	6	4510	1730	1310	866	27	7	1310	1310	683	683
8	6	4510	1730	1777	943	28	31	1649	1649	1222	1222
9	20	5804	1240	4233	893	29	30	1897	1897	973	973
9	21	5804	811	4233	584	30	32	2237	1860	1897	1550
9	29	5804	973	4233	576	31	33	2666	2666	1649	1649
10	20	6061	1240	3038	589	32	34	2510	2510	1864	1864
10	21	6061	811	3038	385	33	35	4866	1660	2666	626
10	28	6061	1230	3038	354	33	36	4866	426	2666	160
11	10	3038	3038	2555	2555	34	16	4693	2430	2520	1340
12	9	4233	4233	2556	2556	34	17	4693	623	2520	342
13	11	2927	2550	2142	1830	34	38	4693	1640	2520	838
14	12	2556	2556	1452	1452	35	15	3473	1210	1653	829
15	13	2142	2142	1216	1216	35	17	3473	623	-----	-----
16	14	4656	1450	2425	798	35	38	3473	1640	1653	1250
16	36	4656	426	-----	-----	36	15	5280	1210	2128	741
17	14	5890	1450	2268	805	36	16	5280	2430	2128	337
17	35	5890	1660	-----	-----	36	38	5280	1640	2128	1050
18	4	4120	2680	2055	1110	37	19	3099	2460	1628	1160
18	24	4120	1440	2055	945	38	18	2689	2050	1638	1130
19	15	4263	1210	2468	596			-----			

Further, to evaluate the effectiveness, performance of the proposed method is compared with GA, PSO and HSA as shown in Table IV. The GA parameters as Population Size (P_s) = 150, Crossover Rate (CR) = 0.8, Mutation Rate (MR) = 0.1, PSO parameters as numbers of particles (N) = 30, acceleration coefficients (C_1, C_2)=

(2.1, 2.0), minimum and maximum inertia weights (w_{min}, w_{max}) = (0.2, 1.0), minimum and maximum velocity of particles (v_{min}, v_{max}) = (-0.45, 0.45), IHSA parameters as HMS = 30, HMCR = 0.9, PAR = 0.3, bw = 0.01, IHSA parameters as HMS = 15, HMCR = 0.99, (PAR_{min}, PAR_{max}) = (0.3, 0.7) and (bw_{min}, bw_{max}) = (0.0001, 1) are considered. As obvious from Table IV, the IHSA gives a better solution compared to GA, PSO and HSA. Additionally, standard deviation, required number of iterations and convergence time are also less in proposed method compared to other methods.

B. Test system 2: IEEE 30-bus network

To validate the effectiveness of the proposed method, its performance has to be evaluated on larger system. The distribution network of IEEE 30-bus system is shown in Fig. 4 that has been employed for this task. This network is supplied from three primary distribution transformers connected at buses B₁, B₆ and B₁₃. The system has a total of 38 DOCRs installed at each end of the lines. In this study, three DGs are assumed to be connected at buses B₃, B₁₀ and B₁₅ with the capacities of 10, 5 and 10 MVA respectively.

TABLE VI. Results for IEEE 30-bus network

Relay no.	Case-I				Case-II				Case-III			
	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)	PS	TMS	T _i ^{NF} (s)	T _i ^{FF} (s)
1	2.304	0.299	0.805	1.075	2.383	0.286	0.782	1.049	2.500	0.221	0.616	0.832
2	1.910	0.203	0.496	0.977	1.600	0.224	0.513	0.958	2.500	0.129	0.350	0.767
3	1.295	0.318	0.875	0.971	1.869	0.262	0.848	0.959	2.500	0.168	0.632	0.729
4	1.990	0.278	0.770	1.002	0.850	0.371	0.758	0.917	2.500	0.173	0.529	0.709
5	1.030	0.213	0.476	0.609	1.262	0.209	0.502	0.655	2.500	0.127	0.405	0.583
6	1.454	0.122	0.408	0.471	0.710	0.184	0.454	0.504	1.873	0.100	0.383	0.452
7	1.529	0.100	0.253	0.474	0.609	0.162	0.303	0.466	1.487	0.100	0.251	0.465
8	0.748	0.136	0.269	0.374	1.223	0.100	0.234	0.347	1.204	0.100	0.232	0.343
9	1.217	0.430	0.920	1.025	0.748	0.470	0.867	0.952	2.500	0.238	0.664	0.764
10	1.179	0.373	0.778	0.996	2.456	0.307	0.834	1.159	2.500	0.228	0.623	0.867
11	1.049	0.312	0.794	0.851	1.018	0.379	0.955	1.022	2.500	0.175	0.667	0.739
12	0.500	0.458	0.825	0.958	2.325	0.230	0.712	0.927	2.500	0.176	0.564	0.742
13	1.515	0.216	0.651	0.757	0.716	0.362	0.816	0.913	2.500	0.139	0.540	0.658
14	0.916	0.289	0.748	0.957	1.156	0.255	0.726	0.955	2.500	0.128	0.542	0.835
15	2.361	0.122	0.557	0.895	2.432	0.126	0.587	0.955	2.375	0.100	0.458	0.738
16	1.516	0.303	0.755	0.999	1.014	0.349	0.756	0.961	0.975	0.297	0.636	0.805
17	1.516	0.104	0.237	0.353	1.094	0.145	0.299	0.425	1.135	0.117	0.244	0.348
18	0.889	0.371	0.800	1.035	1.088	0.309	0.715	0.943	2.500	0.156	0.509	0.764
19	1.489	0.273	0.699	0.885	1.448	0.300	0.759	0.958	2.500	0.169	0.542	0.731
20	1.569	0.223	0.646	1.121	2.302	0.153	0.530	1.068	2.282	0.125	0.430	0.864
21	1.167	0.202	0.451	1.123	0.928	0.228	0.472	1.067	1.286	0.143	0.330	0.864
22	1.580	0.292	0.778	0.846	1.589	0.251	0.672	0.731	2.500	0.174	0.569	0.630
23	0.945	0.325	0.793	0.977	1.909	0.248	0.814	1.086	2.500	0.166	0.627	0.879
24	2.117	0.177	0.772	1.001	1.681	0.227	0.861	1.077	2.500	0.128	0.624	0.839
25	1.211	0.304	0.851	0.973	1.638	0.284	0.911	1.062	2.500	0.169	0.678	0.824
26	0.501	0.100	0.305	0.305	0.519	0.100	0.310	0.310	0.500	0.100	0.305	0.305
27	0.518	0.100	0.269	0.365	0.501	0.100	0.265	0.358	0.500	0.100	0.265	0.357
28	2.097	0.156	0.788	1.011	1.232	0.249	0.900	1.072	1.252	0.203	0.740	0.883
29	1.799	0.161	0.666	1.121	1.790	0.154	0.637	1.069	2.177	0.100	0.469	0.864
30	0.670	0.337	0.815	0.867	2.381	0.168	0.747	0.837	2.500	0.129	0.594	0.669
31	1.187	0.279	0.789	0.989	1.556	0.267	0.851	1.101	2.500	0.162	0.667	0.940
32	1.579	0.262	0.866	1.014	1.971	0.213	0.791	0.946	2.500	0.151	0.645	0.793
33	2.005	0.273	0.747	0.990	2.208	0.275	0.783	1.051	2.500	0.211	0.634	0.867
34	1.878	0.295	0.797	1.064	1.688	0.290	0.752	0.991	2.500	0.198	0.605	0.843
35	2.292	0.176	0.598	0.951	1.889	0.211	0.651	0.986	2.053	0.169	0.542	0.836
36	1.052	0.100	0.211	0.296	0.628	0.174	0.314	0.419	0.500	0.176	0.298	0.390
37	1.894	0.210	0.685	0.994	1.150	0.290	0.759	1.015	2.500	0.141	0.533	0.828
38	0.609	0.381	0.835	0.999	1.699	0.224	0.743	0.981	2.500	0.138	0.565	0.806
$\sum_{i=1}^{N_{NF}} T_i^{NF}$	24.778 s				25.182 s				19.503 s			
$\sum_{i=1}^{N_{FF}} T_i^{FF}$	32.671 s				33.252 s				27.149 s			

The selected DG technology is a synchronous type, operating nominally at 0.9 lagging power factor. The detail information about the system is given in [18, 31, 33]. The CT ratio for each relay is considered to be 200:1. The 3-Φ short-circuits results for near-end and far-end faults and P/B relay pairs are listed in Table V.

The obtained results for Case-I, Case-II and Case-III are presented in Table VI, which include the relay settings and operating time of primary relays. The obtained CTI value for P/B relay pairs is presented in Table VII. As can be seen from Table VII, the CTI constraints for P/B relay pairs 10-28, 15-13, 21-23, 22-23, 24-25, 28-31, 29-30, 33-36, 35-38 and 36-16 are violated for far-end faults in Case-I. On the other hand, the entire coordination constraints for P/B relay pairs are satisfied as given in Case-II. However, the total operating times of primary relays for near-end and far-end fault are 0.404 s and 0.581 s higher in Case-II compared to Case-I as shown in Table VI. In Case-II, all coordination constraints of P/B relay pairs are maintained, but the operating times of primary relays are large. In this complex test system, the violation in coordination constraints in Case-I and total operating time of primary relays in Case-II are increased. On the other hand, the quality of the solution in terms of operating time and coordination of P/B relay pairs has been achieved using proposed OF2 as shown in the results of Case-III. The obtained total operating time of primary relays for near-end and far-end faults are in order of 5.275 s and 5.522 s less than Case-I, whereas these are 5.679 s and 6.103 s less as compared to Case-II. Also, the entire coordination constraints are satisfied in Case-III as shown in Table VII.

TABLE VII. CTI of P/B relay pairs for IEEE 30-bus network

PR	BR	CTI (s)						PR	BR	CTI (s)					
		Case-I		Case-II		Case-III				Case-I		Case-II		Case-III	
		NF	FF	NF	FF	NF	FF			NF	FF	NF	FF	NF	FF
1	21	0.319	----	0.285	----	0.248	----	19	15	0.200	2.778	0.201	3.382	0.200	2.346
1	28	0.200	0.210	0.286	0.201	0.263	0.200	19	16	0.299	0.426	0.201	0.243	0.263	0.272
1	29	0.316	0.428	0.287	0.381	0.248	0.427	19	17	0.301	2.249	0.204	0.859	0.263	0.838
2	20	0.625	----	0.555	----	0.514	----	20	22	0.201	0.351	0.201	0.206	0.200	0.465
2	28	0.509	0.365	0.554	0.327	0.529	0.293	21	3	0.519	----	0.487	----	0.399	----
2	29	0.626	0.623	0.556	0.564	0.514	0.603	21	23	0.527	-0.038	0.617	0.201	0.552	0.200
3	1	0.200	0.262	0.201	0.247	0.200	0.231	22	2	0.201	0.588	0.287	0.607	0.200	0.629
4	2	0.208	0.684	0.201	0.615	0.240	0.876	22	23	0.200	0.166	0.417	0.412	0.313	0.306
4	3	0.200	0.325	0.201	0.493	0.200	0.461	23	24	0.208	0.264	0.263	0.201	0.211	0.200
5	4	0.525	0.919	0.415	0.552	0.304	0.580	23	37	0.200	1.415	0.201	0.720	0.200	2.077
5	37	0.518	1.193	0.513	0.874	0.423	1.267	24	25	0.201	0.172	0.201	0.247	0.200	0.269
6	5	0.201	0.217	0.201	0.244	0.200	0.259	26	8	0.201	----	0.201	----	0.200	----
7	6	0.217	0.299	0.200	0.235	0.200	0.363	27	7	0.205	0.500	0.201	0.289	0.200	0.478
8	6	0.201	0.342	0.270	0.322	0.219	0.408	28	31	0.201	0.162	0.201	0.274	0.200	0.376
9	20	0.201	0.453	0.201	0.650	0.200	0.526	29	30	0.201	0.046	0.200	0.561	0.200	0.485
9	21	0.203	0.506	0.200	0.426	0.200	0.449	30	32	0.200	0.267	0.200	0.238	0.200	0.255
9	29	0.201	1.358	0.202	1.306	0.200	1.731	31	33	0.201	0.344	0.201	0.341	0.200	0.282
10	20	0.342	1.469	0.234	3.167	0.241	2.541	32	34	0.201	0.254	0.202	0.224	0.200	0.246
10	21	0.345	1.820	0.233	1.015	0.241	1.609	33	35	0.201	2.962	0.200	1.860	0.200	1.922
10	28	0.227	-7.45	0.233	3.637	0.256	3.223	33	36	0.240	-3.561	0.203	3.974	0.202	1.735
11	10	0.201	0.219	0.204	0.261	0.200	0.222	34	16	0.201	0.342	0.208	0.279	0.200	0.217
12	9	0.200	0.293	0.240	0.200	0.200	0.263	34	17	0.203	4.959	0.211	1.279	0.200	1.153
13	11	0.201	0.228	0.207	0.268	0.200	0.274	34	38	0.201	0.291	0.229	0.731	0.200	1.021
14	12	0.211	0.210	0.201	0.440	0.200	0.308	35	15	0.302	0.559	0.309	0.661	0.200	0.413
15	13	0.200	0.177	0.326	0.206	0.200	0.345	35	17	0.403	----	0.312	----	0.263	----
16	14	0.202	0.356	0.200	0.465	0.200	1.109	35	38	0.401	0.167	0.329	0.202	0.263	0.210
16	36	0.231	----	0.230	----	0.200	----	36	15	0.689	1.592	0.646	1.671	0.444	1.177
17	14	0.720	0.993	0.657	0.990	0.592	1.531	36	16	0.787	19.746	0.646	4.368	0.507	3.395
17	35	0.710	----	0.684	----	0.590	----	36	38	0.788	0.915	0.667	0.956	0.507	0.905
18	4	0.201	0.843	0.202	0.417	0.200	0.741	37	19	0.201	0.392	0.200	0.475	0.200	0.569
18	24	0.201	0.498	0.362	0.580	0.330	0.636	38	18	0.201	0.379	0.201	0.311	0.200	0.527

Bold digits indicate violation in CTI of P/B relay pairs

The performance comparison of the proposed method with GA, PSO and HSA are tabulated in Table VIII. In this case, GA parameters as $P_s = 300$, $CR = 0.8$, $MR = 0.1$, PSO parameters as $N = 100$, $(C_1, C_2) = (2.1, 2.0)$, $(w_{min}, w_{max}) = (0.2, 1.0)$, $(v_{min}, v_{max}) = (-0.45, 0.45)$, HSA parameters as $HMS = 40$, $HMCR = 0.85$, $PAR = 0.3$, $bw = 0.01$, IHSA parameters as $HMS = 15$, $HMCR = 0.99$, $(PAR_{min}, PAR_{max}) = (0.3, 0.7)$ and $(bw_{min}, bw_{max}) = (0.0001, 1)$ are considered. As can be seen, in Table VIII, the IHSA gives best solution in the less iteration with minimum deviation compared to GA, PSO and HSA.

TABLE VIII. Performance analysis for IEEE 30-bus network for 50 simulations

Cases	Algorithm	$\sum_{i=1}^{N_{NF}} T_i^{NF}$				Violated cases	Number of iterations	Mean convergence time (s)
		Best value	Worst value	Mean value	Standard deviation			
Case-I	GA	32.15	36.16	33.91	1.57	12	812	245
	PSO	27.39	30.77	28.48	1.26	10	658	220
	HSA	25.36	27.49	26.23	0.93	10	580	200
	IHSA	24.78	25.77	25.17	0.40	10	385	128
Case-II	GA	36.24	41.34	38.72	1.78	1	1045	345
	PSO	30.89	34.89	32.72	1.39	0	890	298
	HSA	27.80	30.78	29.19	1.02	0	780	266
	IHSA	25.18	26.19	25.52	0.53	0	475	185
Case-III	GA	30.78	33.76	32.08	1.13	0	895	272
	PSO	26.12	27.90	27.12	0.94	0	752	231
	HSA	23.12	24.37	23.74	0.56	0	650	213
	IHSA	19.50	20.13	19.78	0.27	0	388	156

V. CONCLUSION

In this paper, the IHSA is successfully applied to solve coordination problem of DOCRs with three different cases on IEEE 14-bus and IEEE 30-bus systems. In Case-I, only near-end faults are considered in the formulation of the coordination problem. By examining obtained relay settings for the far-end faults, mis-coordination in a few primary and backup relay pairs is observed. These violations in coordination constraints increase in large interconnected system as presented in IEEE 30-bus network. By including far-end faults in coordination problem in Case-II, all coordination constraints for near-end and far-end faults are satisfied. However, the obtained total operating time of primary relays for near-end as well as far-end faults are higher in Case-II compared to Case-I. To reduce the total operating times of primary relays and mis-coordinations, the coordination problem is formulated with a new objective function in Case-III. The results of Case-III exhibit that the considerable reduction in total operating time of primary relays for both near-end and far-end faults are obtained compared to Case-I and Case-II with satisfying entire coordination constraints. Moreover, the effectiveness of the proposed method is evaluated by comparison with GA, PSO and HSA. The comparative analysis reveals that considerable reduction in operating time of relays is achieved using IHA compared GA, PSO and HSA. The improved convergence rate and statistical inference indicate that the proposed method can give effectual solution for the relay coordination problem.

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