

# Analysis of Dependencies of Checkpoint Cost and Checkpoint Interval of Fault Tolerant MPI Applications

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**Abstract** – In this paper, we have analysed i) the relationship between the checkpoint cost and the optimal checkpoint interval and ii) the relationship between the checkpoint cost and the number of processors (processes) and we have also determined the optimal number of processors (processes) required for executing the fault tolerant MPI applications.

We have presented an experimental study in which, we have used an optimal checkpoint restart model [1] with Weibull's and Exponential distributions to determine the optimal checkpoint interval.

We have observed that, the optimal checkpoint intervals obtained using Weibull's distribution, produce minimal average completion time; as compared with the optimal checkpoint intervals obtained using Exponential distribution.

The optimal checkpoint interval is approximately directly proportional to the checkpoint cost and inversely proportional to shape parameter.

The study indicates that, the checkpoint cost of MPI applications increases with the number of processors (processes) used for execution.

We have determined the optimal number of processes (processors) required to execute the MPI applications considered in this paper, as 4.

**Keywords:** OPEN MPI, Fault Tolerance, Optimal Checkpoint Interval, Checkpoint Cost, Speedup, Efficiency.

## I. Introduction

As the complexity of the program increases, the number of processors to be added to the cluster / HPC / Super Computer also increases, which in turn decreases the MTBF (mean time between failures) of the processors or the machines [2]. When a processor fails or aborts before the completion of execution of the MPI application, the MPI application is restarted from the beginning. Hence, it is required to provide the fault tolerance to all the MPI

applications which run on multiple processors or processes [1][3]-[7].

Fault tolerant MPI applications are checkpointed periodically and the checkpoints are stored either locally or sent to a remote server. When a fault tolerant MPI application fails/aborts, it is restarted from the most recently saved checkpoint on a local disk. Hence, it is not required to restart the application from the beginning [1][3]-[7].

The rollback recovery protocols such as uncoordinated, coordinated, communication induced checkpointing and message logging protocols [5] can be used to achieve the fault tolerance. We have used the coordinated checkpointing protocol [5][7] to provide the fault tolerance to MPI applications considered in this paper.

MPI collective communications are used to develop the MPI applications [7]. The optimal checkpoint restart model (OCRM) developed by M. Shastry et al. [1] has been used in this paper, to determine the optimal checkpoint interval, when the scale parameter and shape parameter of Weibull's distribution are known along with checkpoint cost.

Fixed checkpoint interval is used to checkpoint the MPI applications considered in this paper [8]. The checkpointing of MPI applications includes different costs like, checkpoint cost, rollback cost, and restart cost [1][8][9]. These costs are collectively called the checkpoint overheads. The average completion time of an MPI application is obtained by adding the checkpoint overheads to the execution time of MPI application [1][8][9].

We would like to determine i) the optimal number of processes (processors) required to execute the MPI applications of different size, so that the average completion time of these MPI applications with checkpointing would be minimal, ii) the relationship between the checkpoint cost and the optimal checkpoint interval, and iii) the relationship between checkpoint cost and number of processes used to execute the MPI applications considered in this paper.

Rest of the paper is organized as follows. In section 2, the related works carried out on the study of relationship between checkpoint cost and checkpoint interval, checkpoint / restart models used to determine checkpoint intervals are discussed.

In section 3, the different parameters used and the assumptions made in this paper are presented. In section 4, determination of the optimal checkpoint interval using Weibull's and Exponential distributions is discussed briefly.

In section 5, the equations used for determining the average completion time of the MPI applications are presented. In section 6, the results of the case studies considered in this paper are presented. In section 7, the experimental setup used in the analysis is discussed and in section 8, conclusions are presented.

## II. Related Works

An excellent survey on rollback recovery protocols has been carried out by Elnozahy et al.[5]. Chandy[10][11] and Treaster[12] have presented a survey on rollback and recovery strategies used in fault tolerant MPI applications.

M. Shastry et al. [8] have shown that the fixed checkpoint interval reduces the checkpoint overheads of fault tolerant MPI applications as compared with the incremental or varying checkpoint interval. Hence, we have used the fixed checkpoint interval in our experiment to checkpoint the MPI applications.

Geff et al. [9] have used a simulator to determine the relationship between the checkpoint interval and the various checkpoint overheads.

The OCRM discussed by M.Shastry et al. [1] have shown the significant improvement with regard to the total wastage time as compared with the checkpoint restart models developed by Yudun liu et al. [13][14] and Bouguerra Mohammed Slim et al. [15],

The checkpoint interval obtained by OCRM [1] using Weibull's and Exponential distributions, produces minimal checkpoint overheads as compared with Yudun liu et al. [13][14] and Bouguerra Mohammed Slim et al. [15].

Hoda El-Sayed et al. [16] and Xin Wang [17] have discussed about the speedup and the efficiency of MPI applications.

## III. Parameters/ Notations Used and Assumptions Made.

### A. Parameters and Notations Used.

The parameters/notations used in this paper are presented in the table 1.

Table 1. Parameters/Notations used.

Parameter /Notation	Meaning
$T_C$	Optimal Checkpoint interval.
$T_S$	Time required to save the checkpoint on a local disk (Checkpoint Cost).
$\beta$	Shape Parameter of Weibull's distribution.
$\alpha$	Scale Parameter of Weibull's distribution.
$T_i$	Execution time till a failure occurs in $i^{\text{th}}$ cycle.
$N_i$	Number of checkpoints taken till a failure occurs in $i^{\text{th}}$ cycle.
$RB_i$	Rollback cost due to a failure in $i^{\text{th}}$ cycle
$CC_i$	Checkpoint cost in $i^{\text{th}}$ cycle
$R$	Restart cost(time required to resume the execution of the application after a failure).
$TL_i$	Total time lost in $i^{\text{th}}$ cycle.
$F$	Number of failures.
$TL$	Total time lost due to F failures during the execution of MPI application.
$ET$	Execution Time of MPI application without checkpointing.
$ACT$	Average Completion Time of MPI Application.
$T_I$	Time required to execute the application sequentially (using a single processor) without checkpointing.
$T_P$	Time required for parallel execution of the same application using p processors without checkpointing.
Mat4k	MPI Application, which multiplies two matrices of size 4000 * 4000 integers.
Mat5k	MPI Application, which multiplies two matrices of size 5000 * 5000 integers.
Prime4L	MPI Application, which generates 4 lakh prime numbers on each process(processor).
Prime5L	MPI Application, which generates 5 lakh prime numbers on each process (processor).

## B. Assumptions Made

We have made the following assumptions, which are similar to the assumptions made in [1] to checkpoint the MPI applications considered in this paper.

1. A series of random failures  $F_i$  ( $i = 1, 2, 3, \dots, N$ ) may interrupt the execution of MPI application.
2. A separate monitoring software system is used to monitor continuously the failure of a fault tolerant MPI application.
3. Checkpoint interval ( $T_C$ ) is fixed and a checkpoint is taken periodically after the time  $T_C$ .
4. When a failure occurs during the execution of MPI application, MPI application is rolled back to the most recent checkpoint using locally stored checkpoint file.
5. Time required for writing a checkpoint ( $T_S$ ) onto a local disk is a constant and only one copy of the most recent checkpoint is stored on a local disk.
6. Time required for resuming/restarting (restart cost,  $R$ ) the MPI application from the most recent checkpoint is a constant.

## IV. Determination of Optimal Checkpoint Interval

### A. Using Weibull's Distribution

The optimal checkpoint interval  $T_C$  is obtained by using the optimal checkpoint restart model (OCRM) developed by [1] using Weibull's distribution. The algorithm Estimate- $T_C$  () of [1] is used with the shape parameter  $\beta$  and the scale parameter  $\alpha$  for the given checkpoint cost,  $T_S$ . The shape parameter  $\beta$  is set to 0.5.

### B. Using Exponential Distribution.

The optimal checkpoint interval  $T_C$  is obtained by using the optimal checkpoint restart model (OCRM) developed by [1] using Exponential distribution. The algorithm Estimate- $T_C$  () of [1] is used with the shape parameter  $\beta$  and the scale parameter  $\alpha$  for the given checkpoint cost,  $T_S$ . The shape parameter  $\beta$  is set to 1.0.

## V. Determination of Total Time Lost

The number of checkpoints to be taken in a cycle before the occurrence of a failure can be determined by [1][8]

$$N_i = \lfloor T_i / (T_C + T_S) \rfloor \quad (1)$$

Then, the cost of checkpoint in  $i^{\text{th}}$  cycle is computed as follows [1][8].

$$CC_i = N_i T_S \quad (2)$$

The cost of rollback in  $i^{\text{th}}$  cycle is then computed as follows [1][8].

$$Rb_i = (T_i - N_i (T_C + T_S)) \quad (3)$$

The time lost in  $i^{\text{th}}$  cycle  $TL_i$  due to a failure can be obtained by adding checkpoint cost, rollback cost and restart cost together as follows [1][8].

$$TL_i = CC_i + Rb_i + R \quad (4)$$

The time lost in  $i^{\text{th}}$  cycle can also be computed [1] as follows by substituting (2) and (3) in (4).

$$TL_i = T_i - N_i T_C + R \quad (5)$$

The total time lost during the execution of a fault tolerant MPI application is determined using the following equation [1][8].

$$TL = \sum_{i=1}^F TL_i \quad (6)$$

The average completion time of a MPI application is computed as follows

$$ACT = ET + TL \quad (7)$$

## VI. Case Studies

### A. Variation in Execution Time (ET) with Number of Processors (Processes).

Variation in execution time of Mat4k and Mat5k with number of processes is represented in figure 1 a). Figure 1a) shows that, the execution time of Mat4k and Mat5k is very high, when number of processes is 1. When number of processes is increased to 2, the execution time of both applications is reduced to almost 50%. When the number of processes is increased to 4 and 8, the execution time of Mat5k increases linearly, but, the execution time of Mat4k remains almost constant. When the number of processes is increased to 16 and 32, the execution time remains almost constant for both applications as shown in figure 1a).

Variation in execution time of Prime4L and Prime5L with number of processes is represented in figure 1 b). Figure 1b) shows that, the execution time of Prime4L and Prime5L is very high when number of processes is 1. When number of processes is increased to 2, 4 and 8 the execution time of both applications is reduced by 26% to 40%.

The execution time of both applications remains almost constant, when the number of processes is increased to 16 and 32 as shown in figure 1b).

When P processors (processes) are used to execute the MPI applications, the speed up and the efficiency [16][17] are computed as follows.

$$\text{Speed up} = T_1 / T_p.$$

$$\text{Efficiency} = \text{Speed up} / P.$$

Variation in speed up with the number of processes used for executing the MPI applications considered in this paper, is represented in the figure 1c).

The figure 1c) shows that, when the number of processes is between 1 and 4, the speed up is between 1 and 2 in all the 4 cases. Speedup remains same in case of Mat4k and Mat5k, when the number of processes is between 1 and 32. But the speedup increases gradually in case of Prime4L and Prime5L, when the number of processes is between 1 and 16 and remains constant, when the number of processes is between 16 and 32.

It is clear from the figure 1c) that, the speedup remains same in all the four cases, when the number of processes is 4.

Variation in efficiency with the number of processes used for executing the MPI applications considered in this paper, is represented in the figure 1d).

The figure 1d) shows that, when the number of processes is between 1 and 4, efficiency is between 0.5 and 1 in all the 4 cases. Efficiency reduces gradually in all the 4 cases, as the number of processes is increased from 4 to 16 and reaches almost zero, when number of processes is 32.

When the number of processors (processes) used for executing the MPI applications is P, speedup is above 2 and the efficiency is between 0.5 and 1, the optimal number of processors (processes), P<sub>o</sub>, to be used for executing MPI applications is, P<sub>o</sub> = P.

Since the speedup is 2 and the efficiency is above 0.5 as shown in figures 1c) and 1d) respectively, in all the 4 cases, we conclude that, the optimal number of processors (processes) to be used for executing all the 4 MPI applications considered in this paper, is, 4 (P<sub>o</sub> = 4).

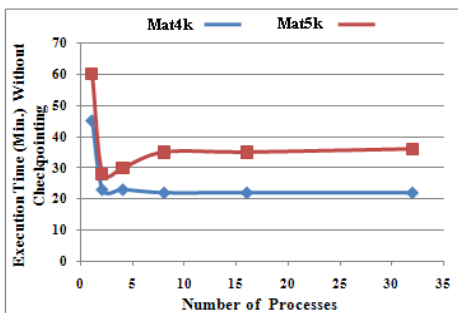


Fig 1 a). Variation in Execution Time of Mat4k and Mat5k.

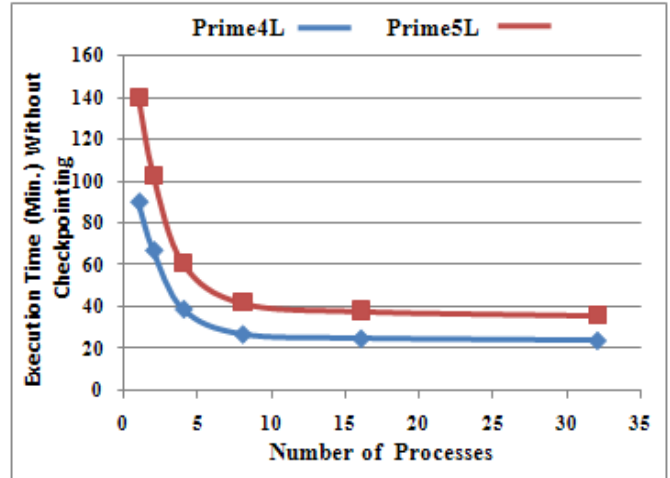


Fig 1 b). Variation in Execution Time of Prime4L and Prime5L.

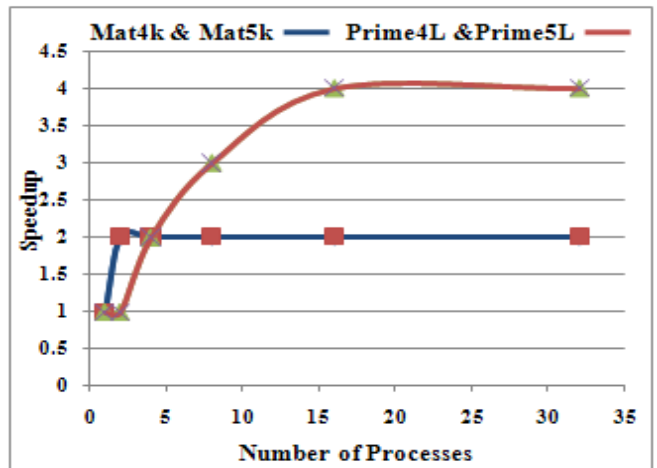


Fig 1 c). Variation in Speedup.

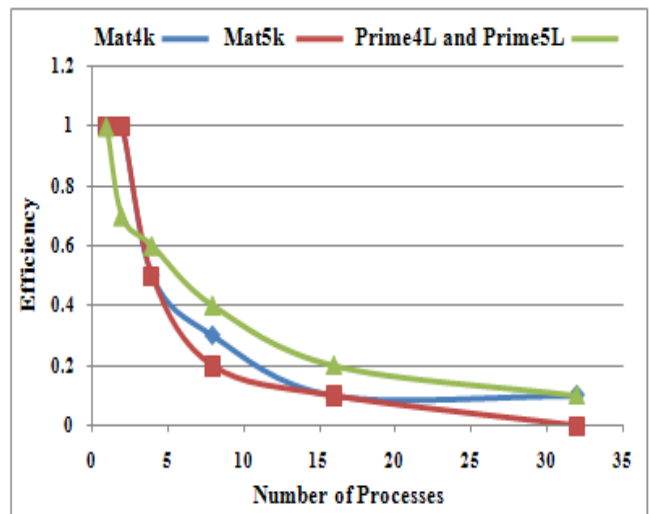


Fig 1 d). Variation in Efficiency.

**B. Variation in Checkpoint Cost with Number of Processes (Processors).**

Figures 2a) and 2b) present the variation in checkpoint cost of Mat4K, Mat5K and Prime4L, Prime5L MPI applications with the number of processes respectively.

It is clear from the figures 2a) and 2b) that, the checkpoint cost of MPI applications increases linearly as the number of processes increases from 1 to 32.

Thus, the checkpoint cost is directly proportional to the number of processes used for executing the MPI applications.

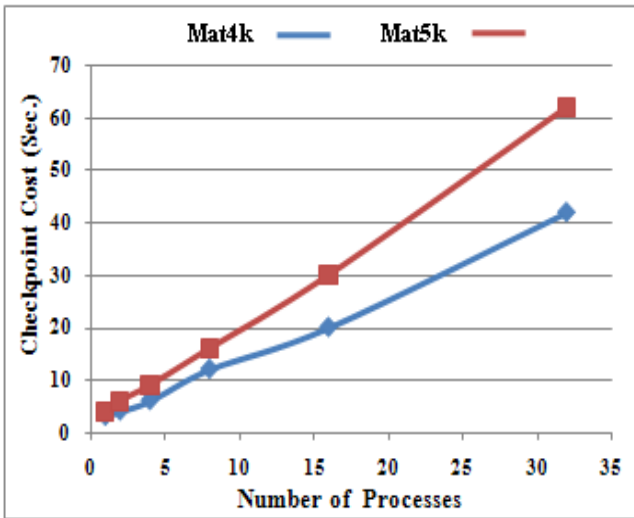


Fig 2 a). Variation in Checkpoint Cost of Mat4k and Mat5k.

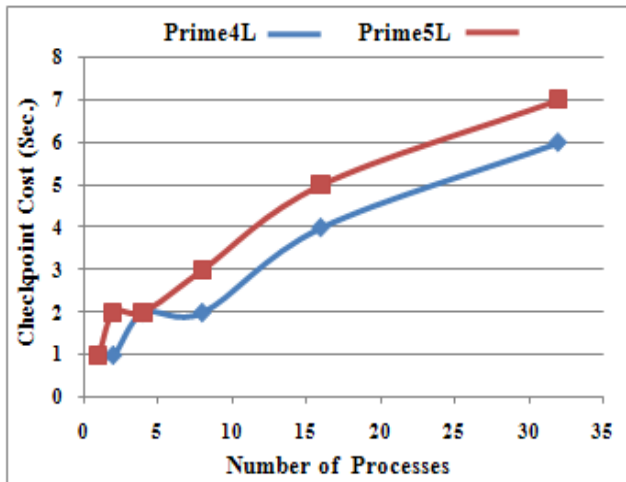


Fig 2 b). Variation in Checkpoint Cost of Prime4L and Prime5L.

**C. Variation in Optimal Checkpoint Interval with Checkpoint Cost.**

Figure 3 represents the optimal checkpoint intervals obtained from OCRM [1] using Weibull's distribution with shape parameter,  $\beta = 0.5$  and Exponential distribution with shape parameter,  $\beta = 1.0$  for the different values of checkpoint cost,  $T_S$  of MPI applications considered in this paper. The scale parameter  $\alpha$ , is set to 4.8 minutes ( $\lambda = 300$  failures per day).

In figure 3, when  $\beta = 0.5$ , the  $T_C$  remains 1.5 minutes, when  $T_S$  is less than 8 seconds and  $T_C$  increases to 2 minutes, when  $T_S$  is between 9 and 16 seconds. The  $T_C$  value increases gradually when  $T_S$  is between 16 and 30 seconds. The value of  $T_C$  decreases gradually further when  $T_S$  is above 30 seconds.

When  $\beta = 1.0$ , the optimal  $T_C$  remains 0.5 minutes, when  $T_S$  is less than 5 seconds and  $T_C$  increases to 1 minute when  $T_S$  is between 5 and 6 seconds. The  $T_C$  value increases gradually when  $T_S$  is between 10 and 42 seconds. The value of  $T_C$  remains almost constant, when  $T_S$  is above 42 seconds.

Thus, it is clear from the figure 3 that, the optimal checkpoint intervals obtained from OCRM [1] using Exponential distribution with  $\beta = 1.0$  are low as compared to the optimal checkpoint intervals obtained from OCRM [1] using Weibull's distribution with  $\beta = 0.5$ . This clearly indicates that, the number of checkpoints to be taken for an MPI application is more in the case of Exponential distribution ( $\beta = 1.0$ ) as compared with the Weibull's distribution ( $\beta = 0.5$ ). It is also clear from the figure 3 that, the optimal checkpoint interval is approximately directly proportional to the checkpoint cost,  $T_S$  of MPI application and inversely proportional to the shape parameter,  $\beta$  of Weibull's distribution.

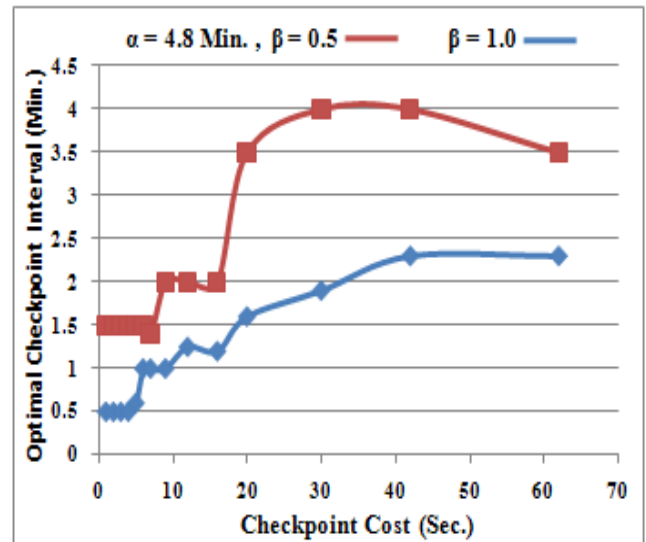


Fig 3. Variation in Optimal Checkpoint Interval

**D. Variation in Average Completion time with Number of Processors (Processes).**

Figures 4 and 5 represent the variation in the average completion time of Mat4k and Mat5k with number of processes respectively. Figures 6 and 7 represent the variation in average completion time of Prime4L and Prime5L with number of processes, respectively. The average completion time of all 4 MPI applications is computed using the equation (7).

The average completion time of Mat4k, as shown in the figure 4, is almost same for  $\beta = 0.5$  and  $\beta = 1.0$ , when the number of processes is between 1 and 16. Similarly, the average completion time of Mat5k, as shown in figure 5, is almost same for  $\beta = 0.5$  and  $\beta = 1.0$ , when the number of processes is between 1 and 16

Since, the optimal checkpoint intervals obtained from OCRM [1] using exponential distribution with  $\beta = 1.0$  are low as compared with the optimal checkpoint intervals obtained from OCRM [1] using Weibull's distribution with  $\beta = 0.5$  as shown in figure 3, the average completion time of Mat4k is 9% less and Mat5k is 7% less, when  $\beta = 0.5$ , as shown in the figures 4 and 5 respectively, when the number of processes is above 16. This result is as expected from OCRM[1], because, the number of checkpoints to be taken will be more in the case of Exponential distribution with  $\beta = 1.0$  as compared to the Weibull's distribution with  $\beta = 0.5$ .

The average completion time of the Prime4L, as shown in figure 6, is almost same for both  $\beta = 0.5$  and  $\beta = 1.0$ , when the number of processes is between 1 and 32. Similarly, the average completion time of the Prime5L, as shown in figure 7, is almost same for both  $\beta = 0.5$  and  $\beta = 1.0$ , when the number of processes is between 1 and 32. But, still the average completion time of these applications is 3 % less, when  $\beta = 0.5$ , as shown in figures 6 and 7.

Thus, it is clear from the figures 4, 5, 6 and 7 that, the optimal checkpoint intervals obtained from OCRM [1] using Weibull's distribution with  $\beta = 0.5$ , yield minimal average completion time as compared to the optimal checkpoint intervals obtained from OCRM [1] using Exponential distribution with  $\beta = 1.0$ , irrespective of the number of processes used to execute the MPI applications considered in this paper.

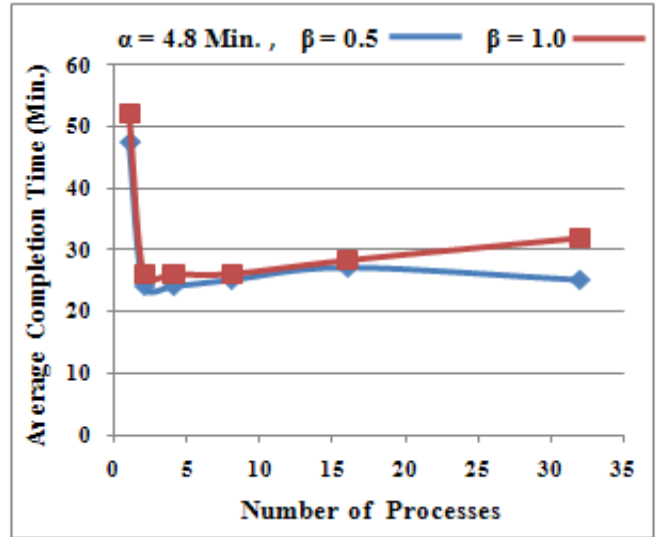


Fig 4. Average Completion Time of Mat4k

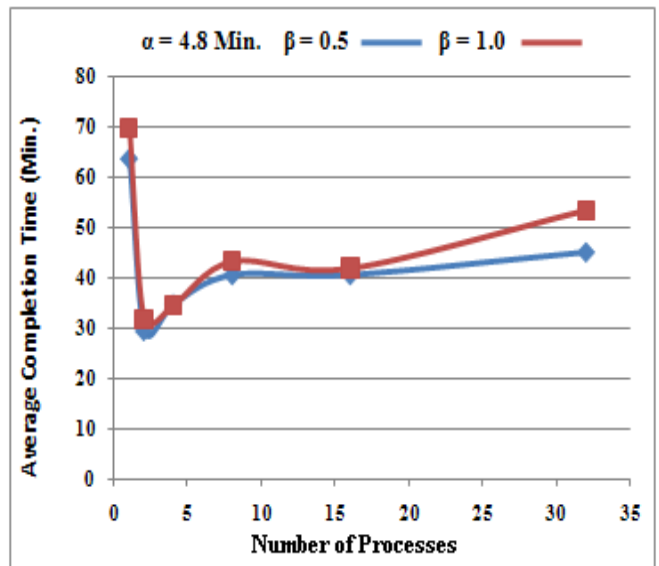


Fig 5. Average Completion Time of Mat5k

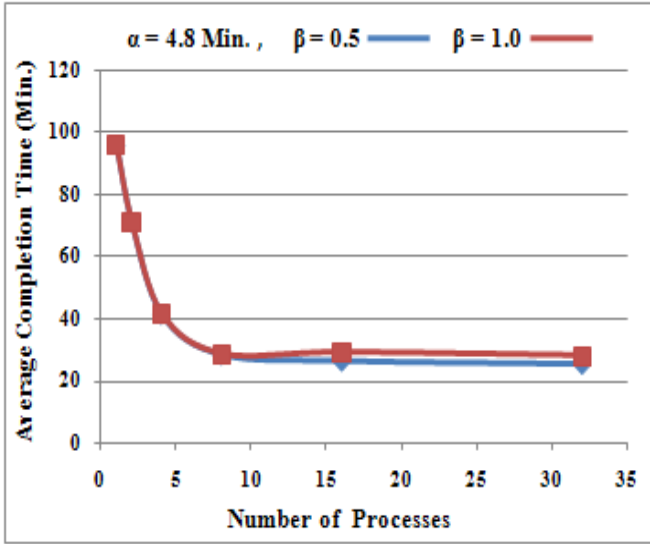


Fig 6. Average Completion Time of Prime4L

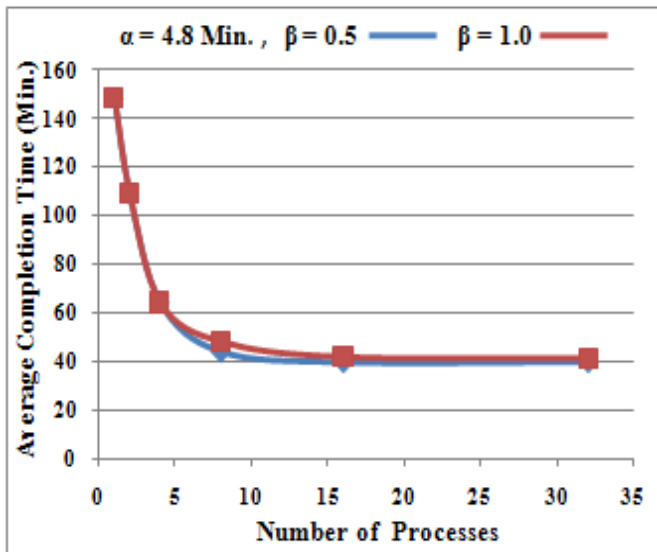


Fig 7. Average Completion Time of Prime5L

### VII. Experimental Setup

An AMD Athlon™ II X4 630 (Quad Core) processor with 4 GB of RAM is used to execute the MPI applications.

We have implemented 4 MPI applications, Mat4k, Mat5k, Prime4L and Prime5L in “C” programming by using OPEN MPI [18] on a standalone system. OPEN MPI [18] allows the execution and checkpointing of MPI applications on standalone systems also. MPI collective communication methods like MPI\_Scatter() and MPI\_Bcast() are used to distribute the data to different processes involved in the cluster[7].

Berkeley Lab’s Checkpoint restart (BLCR) technique along with OPEN MPI is used to checkpoint the above MPI

applications. BLCR is a LINUX based coordinated checkpointing protocol [19]. We have used fixed checkpoint interval to checkpoint the MPI applications [8], as it reduces the total waste time due to the checkpointing of MPI applications.

The above mentioned MPI applications are executed and checkpointed periodically after the time  $T_c$ . We have generated  $\lambda = 300$  failures per day ( $\alpha = 4.8$  minutes) during the execution of above MPI applications.

Weibull’s distribution with  $\beta = 0.5$  and Exponential distribution with  $\beta = 1.0$  are used to determine the optimal checkpoint intervals using OCRM [1], when  $\alpha = 4.8$  minutes.

The monitor program is developed in a shell script. This program runs at the background and monitors continuously the execution of the MPI applications. Once, the monitor program learns that the MPI application has failed due to an interruption; it uses the most recent checkpoint stored locally and resumes the execution of the MPI application from the most recent checkpoint.

### VIII. Conclusions.

Execution time of the MPI applications as shown in figures 1a) and 1b), reduces to more than 30% to 50% when the number of processes is increased from 1 to 8 and the execution time almost remains constant when the number of processes is increased from 8 to 32.

Since, when the number of processes is 4, for all the 4 applications, the speedup is 2 and the efficiency is above 0.5 as shown in figures 1c) and 1d) respectively, we conclude that, the optimal number of processes to be used for executing all the 4 MPI applications considered in this paper, is 4.

Figures 2a) and 2b) show that, the checkpoint cost of MPI applications increases gradually as the number of processes increases from 1 to 32. Thus, the checkpoint cost is directly proportional to the number of processes used to execute an MPI application.

Figure 3 shows that, the optimal checkpoint intervals obtained using Exponential distribution with  $\beta = 1.0$  are low as compared to the optimal checkpoint intervals obtained using Weibull’s distribution with  $\beta = 0.5$ . This clearly indicates that, the number of checkpoints to be taken for an MPI application is more in the case of Exponential distribution ( $\beta = 1.0$ ) as compared with the Weibull’s distribution ( $\beta = 0.5$ ).

It is also clear from the figure 3 that, the optimal checkpoint interval is approximately directly proportional to the checkpoint cost,  $T_s$ , of MPI application and inversely proportional the shape parameter,  $\beta$  of Weibull’s distribution.



It is quite clear from the figures 4, 5, 6 and 7 that, the optimal checkpoint intervals obtained from OCRM [1] using Weibull's distribution with  $\beta = 0.5$ , yield minimal average completion time as compared with the optimal checkpoint intervals obtained from [1] using Exponential distribution with  $\beta = 1.0$ . This is because; the number of checkpoints to be taken is more in case of Exponential distribution as compared with Weibull's distribution.

Our results discussed in this paper again emphasize that, when the scale parameter  $\alpha$  and the checkpoint cost,  $T_S$  of MPI applications are known, the Weibull's distribution can be used to determine optimal checkpoint intervals from OCRM [1] for any given value of shape parameter,  $\beta$ .

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